

COMPANY REGISTRATION NUMBER: 07868094
CHARITY REGISTRATION NUMBER: 1158164

STAFFORDSHIRE NEUROLOGICAL ALLIANCE
Company Limited by Guarantee
UNAUDITED FINANCIAL STATEMENTS
31 DECEMBER 2016

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STAFFORDSHIRE NEUROLOGICAL ALLIANCE
COMPANY LIMITED BY GUARANTEE
FINANCIAL STATEMENTS
YEAR ENDED 31 DECEMBER 2016

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STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

TRUSTEES' ANNUAL REPORT (INCORPORATING THE DIRECTOR'S REPORT)

YEAR ENDED 31 DECEMBER 2016

The trustees, who are also the directors for the purposes of company law, present their report and the unaudited financial statements of the charity for the year ended 31 December 2016.

Reference and administrative details

Registered charity name Staffordshire Neurological Alliance

Charity registration number 1158164

Company registration number 07868094

Principal office and registered office 10 Hawkesmoor Drive
Lichfield
WS14 9YH

The trustees

Mr J F May

Mr J W E Morgan

Mr P M Drew

Mrs D Perry

Dr S J Searle

Mr R V Howell

(Appointed 8 October 2016)

(Retired 16 August 2016)

(Died 17 March 2016)

Accountants

DPC Accountants Limited
Chartered accountant
Vernon Road
Stoke on Trent
Staffordshire
ST4 2QY

Structure, governance and management

Governing document and organisational structure

Staffordshire Neurological Alliance is a company limited by guarantee, registered in England and Wales 7868094, registered charity 1158164, governed by its Memorandum and Articles of Association.

The trustees/directors continue to be supported by an elected Management Committee made up of commissioners, clinicians, members of neurological charities and others that meets monthly to approve, review and monitor all aspects of the organisation's activity that are set out in its Articles of Association.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

TRUSTEES' ANNUAL REPORT (INCORPORATING THE DIRECTOR'S REPORT)

(continued)

YEAR ENDED 31 DECEMBER 2016

Objectives and activities

We have continued to pursue, within Staffordshire and Stoke on Trent, the Objects set out in our Articles

(1) The relief of those persons who are receiving or have received treatment for a neurological condition and their families and carers

(2) To advance the education of the public in all matters concerning neurological conditions

We have also worked to implement our 5 year plan which is available on our website at www.staffsneurologicalalliance.org.uk

We have referred to the guidance contained in the Charity Commission's general guidance on public benefit when reviewing our aims and objectives and in planning our activities.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

TRUSTEES' ANNUAL REPORT (INCORPORATING THE DIRECTOR'S REPORT)

(continued)

YEAR ENDED 31 DECEMBER 2016

Achievements and performance

Patient Advocacy

Our role as organisational champions for Staffordshire Healthwatch and partnership with Stoke on Trent Healthwatch have continued to strengthen, through our membership of the Reference Advisory Group for the merger of Mid Staffordshire Trust into UHNM and the Wolverhampton Trust and other cooperative activities.

We have continued monthly liaison meetings with the University Hospitals of North Midlands Trust(UHNM) based on a formal partnership agreement and we are members of their Engagement Practice and Advisory Group (EPAG). The Neurological Clinical Network led by the Stoke CCG lapsed in Summer 2016 as a consequence of the focus of CCG's and the County Council switching to the closed group preparing Sustainable Transformation Plans. This activity hampered any other progress across the local Health and Social Services economy for the rest of 2016 and continues to do so.

The Chair of Engaging Communities Staffordshire (the parent of Healthwatch) has continued to lead a working party of all commissioning bodies, all providers, GP federations, representatives of care organisations etc with the aim of agreeing a cohesive strategy for the provision of services to those with neurological conditions, from diagnosis to death. We attempted to conduct a survey of neurological services but following an inadequate response, we have switched to a program of structured interviews to collect patient experiences.

We are extremely grateful for the continued support of Healthwatch Staffordshire, Healthwatch Stoke and now of Healthwatch Wolverhampton.

Consultations regarding the County Council's Better Futures Initiative failed to materialise as STP dominated.

We have continued to be represented in the champion's organisation for the Cancer and End of Life project being developed by Macmillan and The four Northern CCG's to appoint a Service Integrator for provision of these services.

We continue to interface vigorously with Virgin, the Prime Provider for East Staffordshire CCG and Plus 2, who have been commissioned by the County Council to provide a Carer's Hub. In both we have been solicited to pursue users and carer's concerns and needs. We are beginning to establish an effective presence with the Burton Hospital Trust as a consequence of activities of our advocates.

Our committee members are active in their local health centre patient involvement groups and we are represented on the South Staffordshire and Seisdon Patient Panel and the Patient and Carer's group at the Staffordshire County Hospital. We are working continuously to engage with all commissioners, providers and decision makers in relation to the provision of services to those with conditions across the county. With support from Engaging Communities we are targeting the development of a more effective relationship with the Wolverhampton Trust and CCG's in collaboration with the Black County Neurological Alliance.

These demands, together with the wish to improve our contact with those with conditions and provide for succession, led to our Advocate Recruitment initiative, a continuing priority.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

TRUSTEES' ANNUAL REPORT (INCORPORATING THE DIRECTOR'S REPORT)

(continued)

YEAR ENDED 31 DECEMBER 2016

Achievements and performance (continued)

Staffordshire Neurological Advocacy Programme

The Staffordshire Neurological Advocacy Programme, funded by the Awards for All scheme of The Big Lottery, is now closed and we are grateful that we were allowed to retain unspent funds to continue our efforts to recruit and support advocates.

We have continued our publicising of outstanding performance, awarding the Best in Staffordshire certificate to a further 3 organisations and have developed our website and use of Facebook and Twitter to support our advocates and other programmes and as a means of education generally. Our Hard Copy Directory project, while well received requires, further input from our advocates to ensure that we get the feedback that we need to develop and extend it and ensure its availability to the general public.

We have agreed to become ambassadors, assisting Healthwatch in communication of STP proposals to the general public while making it clear that we do not endorse these.

Financial review

Total funds at 31st December 2016 were £36 (£4,609 2015) of which £36 were un-restricted income funds and £nil were restricted income funds.

There were surplus funds of £694 from the Awards for All Scheme, as agreed by the grant provider, these funds have been transferred to the unrestricted fund.

Cash at Bank and in hand was £573 (£4,692 2015).

Plans for future periods

Our immediate priorities arising from the activities described above are to recruit and retain more advocates, provide funding to support their activities and update our literature and other communication channels including our directory.

Small company provisions

This report has been prepared in accordance with the provisions applicable to companies entitled to the small companies exemption.

The trustees' annual report was approved on 21/03/2017 and signed on behalf of the board of trustees by:


Mr J W E Morgan
Trustee

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

CHARTERED ACCOUNTANT'S REPORT TO THE BOARD OF TRUSTEES ON THE PREPARATION OF THE UNAUDITED STATUTORY FINANCIAL STATEMENTS OF STAFFORDSHIRE NEUROLOGICAL ALLIANCE

YEAR ENDED 31 DECEMBER 2016

In order to assist you to fulfil your duties under the Companies Act 2006, we have prepared for your approval the financial statements of Staffordshire Neurological Alliance for the year ended 31 December 2016, which comprise the statement of financial activities (including income and expenditure account), statement of financial position, statement of cash flows and the related notes from the charity's accounting records and from information and explanations you have given us.

As a practising member firm of the Institute of Chartered Accountants in England and Wales (ICAEW), we are subject to its ethical and other professional requirements which are detailed at www.icaew.com/en/members/regulations-standards-and-guidance.

This report is made solely to the board of trustees of Staffordshire Neurological Alliance, as a body, in accordance with the terms of our engagement letter dated 4th March 2015. Our work has been undertaken solely to prepare for your approval the financial statements of Staffordshire Neurological Alliance and state those matters that we have agreed to state you, as a body, in this report in accordance with ICAEW Technical Release 07/16 AAF as detailed at www.icaew.com/compilation. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than Staffordshire Neurological Alliance and its board of trustees, as a body, for our work or for this report.

It is your duty to ensure that Staffordshire Neurological Alliance has kept adequate accounting records and to prepare statutory financial statements that give a true and fair view of the assets, liabilities, financial position and loss of Staffordshire Neurological Alliance. You consider that Staffordshire Neurological Alliance is exempt from the statutory audit requirement for the year.

We have not been instructed to carry out an audit or a review of the financial statements of Staffordshire Neurological Alliance. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory financial statements.



DPC ACCOUNTANTS LIMITED
Chartered accountant

Vernon Road
Stoke on Trent
Staffordshire
ST4 2QY

22-3-17

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

STATEMENT OF FINANCIAL ACTIVITIES (INCLUDING INCOME AND EXPENDITURE ACCOUNT)

31 DECEMBER 2016

		Unrestricted funds £	2016 Restricted funds £	Total funds £	2015 Total funds £
	Note				
Income and endowments					
Donations and legacies	5	1,487	–	1,487	9,320
Total income		<u>1,487</u>	<u>–</u>	<u>1,487</u>	<u>9,320</u>
Expenditure					
Expenditure on raising funds:					
Costs of raising donations and legacies	6	(1,577)	(4,483)	(6,060)	(5,132)
Total expenditure		<u>(1,577)</u>	<u>(4,483)</u>	<u>(6,060)</u>	<u>(5,132)</u>
Net (expenditure)/income		<u>(90)</u>	<u>(4,483)</u>	<u>(4,573)</u>	<u>4,188</u>
Transfers between funds		694	(694)	–	–
Net movement in funds		<u>604</u>	<u>(5,177)</u>	<u>(4,573)</u>	<u>4,188</u>
Reconciliation of funds					
Total funds brought forward		(568)	5,177	4,609	421
Total funds carried forward		<u>36</u>	<u>–</u>	<u>36</u>	<u>4,609</u>

The statement of financial activities includes all gains and losses recognised in the year.
All income and expenditure derive from continuing activities.

The notes on pages 9 to 14 form part of these financial statements.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

STATEMENT OF FINANCIAL POSITION

31 DECEMBER 2016

		2016 £	2015 £
Current assets			
Debtors	9	288	276
Cash at bank and in hand		573	4,692
		<u>861</u>	<u>4,968</u>
Creditors: Amounts falling due within one year	10	825	359
Net current assets		<u>36</u>	<u>4,609</u>
Total assets less current liabilities		<u>36</u>	<u>4,609</u>
Net assets		<u>36</u>	<u>4,609</u>
Funds of the charity			
Restricted funds		-	5,177
Unrestricted funds		36	(568)
Total charity funds	11	<u>36</u>	<u>4,609</u>

For the year ending 31 December 2016 the charity was entitled to exemption from audit under section 477 of the Companies Act 2006 relating to small companies.

Trustees responsibilities:

- The members have not required the charity to obtain an audit of its financial statements for the year in question in accordance with section 476;
- The trustees acknowledge their responsibilities for complying with the requirements of the Act with respect to accounting records and the preparation of financial statements.

These financial statements have been prepared in accordance with the provisions applicable to companies subject to the small companies' regime.

These financial statements were approved by the board of trustees and authorised for issue on ~~21-03-2017~~ and are signed on behalf of the board by:


Mr J W E Morgan
Trustee

Co. No 07868094

The notes on pages 9 to 14 form part of these financial statements.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE
COMPANY LIMITED BY GUARANTEE
STATEMENT OF CASH FLOWS
YEAR ENDED 31 DECEMBER 2016

	2016	2015
	£	£
Cash flows from operating activities		
Net (expenditure)/income	(4,573)	4,188
<i>Adjustments for:</i>		
Accrued expenses	31	–
<i>Changes in:</i>		
Trade and other debtors	(12)	(276)
Trade and other creditors	435	–
Cash generated from operations	(4,119)	3,912
Net cash (used in)/from operating activities	(4,119)	3,912
Net (decrease)/increase in cash and cash equivalents	(4,119)	3,912
Cash and cash equivalents at beginning of year	4,692	780
Cash and cash equivalents at end of year	573	4,692

The notes on pages 9 to 14 form part of these financial statements.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 DECEMBER 2016

1. General information

The charity is a private company limited by guarantee, registered in England and Wales and a registered charity in England and Wales. The address of the registered office is 10 Hawkesmoor Drive, Lichfield, WS14 9YH.

2. Statement of compliance

These financial statements have been prepared in compliance with FRS 102, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland', the Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (Charities SORP (FRS 102)) and the Charities Act 2011.

3. Accounting policies

Income

Income represents subscriptions and donations receivable.

Basis of preparation

The financial statements have been prepared on the historical cost basis, as modified by the revaluation of certain financial assets and liabilities and investment properties measured at fair value through income or expenditure.

The financial statements are prepared in sterling, which is the functional currency of the entity.

Going concern

There are no material uncertainties about the charity's ability to continue.

Transition to FRS 102

The entity transitioned from previous UK GAAP to FRS 102 as at 1 January 2015. Details of how FRS 102 has affected the reported financial position and financial performance is given in note 13.

Judgements and key sources of estimation uncertainty

The preparation of the financial statements requires management to make judgements, estimates and assumptions that affect the amounts reported. These estimates and judgements are continually reviewed and are based on experience and other factors, including expectations of future events that are believed to be reasonable under the circumstances. Management did not make any judgements or estimates during the year.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS *(continued)*

YEAR ENDED 31 DECEMBER 2016

3. Accounting policies *(continued)*

Fund accounting

Unrestricted funds are those funds that can be used in accordance with charitable objects at the discretion of the Directors/Trustees. Restricted funds are those funds that can only be used for particular restricted purposes within the objects of the charity. Restrictions arise when specified by the donor or when funds are raised for particular restricted purpose.

Incoming resources

All incoming resources are included in the statement of financial activities when entitlement has passed to the charity; it is probable that the economic benefits associated with the transaction will flow to the charity and the amount can be reliably measured. The following specific policies are applied to particular categories of income:

- income from donations or grants is recognised when there is evidence of entitlement to the gift, receipt is probable and its amount can be measured reliably.
- legacy income is recognised when receipt is probable and entitlement is established.
- income from donated goods is measured at the fair value of the goods unless this is impractical to measure reliably, in which case the value is derived from the cost to the donor or the estimated resale value. Donated facilities and services are recognised in the accounts when received if the value can be reliably measured. No amounts are included for the contribution of general volunteers.
- income from contracts for the supply of services is recognised with the delivery of the contracted service. This is classified as unrestricted funds unless there is a contractual requirement for it to be spent on a particular purpose and returned if unspent, in which case it may be regarded as restricted.

Resources expended

Resources expended are included in the Statement of Financial Activities on an accruals basis inclusive of any VAT that cannot be recovered. Certain expenditure is directly attributable to specific activities and has been included in those cost categories. Where costs are attributable to more than one activity those costs are apportioned on the basis of time spent on those activities.

Financial instruments

A financial asset or a financial liability is recognised only when the entity becomes a party to the contractual provisions of the instrument.

Basic financial instruments are initially recognised at the amount receivable or payable including any related transaction costs.

Current assets and current liabilities are subsequently measured at the cash or other consideration expected to be paid or received and not discounted.

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS *(continued)*

YEAR ENDED 31 DECEMBER 2016

4. Limited by guarantee

Staffordshire Neurological Alliance is a company limited by guarantee and accordingly does not have a share capital.

Every member of the company undertakes to contribute such amount as may be required not exceeding £1 to the assets of the charitable company in the event of its being wound up while he or she is a member, or within one year after he or she ceases to be a member.

5. Donations and legacies

	Unrestricted Funds £	Restricted Funds £	Total Funds 2016 £
Donations			
Donations	538	—	538
Grants			
Grants receivable	—	—	—
Other donations and legacies			
Members fees	949	—	949
	<u>1,487</u>	<u>—</u>	<u>1,487</u>

	Unrestricted Funds £	Restricted Funds £	Total Funds 2015 £
Donations			
Donations	96	—	96
Grants			
Grants receivable	—	8,850	8,850
Other donations and legacies			
Members fees	374	—	374
	<u>470</u>	<u>8,850</u>	<u>9,320</u>

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS *(continued)*

YEAR ENDED 31 DECEMBER 2016

6. Costs of raising donations and legacies

	Unrestricted Funds £	Restricted Funds £	Total Funds 2016 £
Rent	180	–	180
Insurance	276	–	276
Motor/travel costs	–	585	585
Accountancy fees	396	–	396
Other office costs	–	1,719	1,719
Bank charges	133	–	133
Other	592	2,179	2,771
	<u>1,577</u>	<u>4,483</u>	<u>6,060</u>

	Unrestricted Funds £	Restricted Funds £	Total Funds 2015 £
Rent	173	–	173
Insurance	264	–	264
Motor/travel costs	–	1,081	1,081
Accountancy fees	359	–	359
Other office costs	–	848	848
Bank charges	–	–	–
Other	663	1,744	2,407
	<u>1,459</u>	<u>3,673</u>	<u>5,132</u>

7. Staff costs

The average head count of employees during the year was Nil (2015: Nil).

No employee received employee benefits of more than £60,000 during the year (2015: Nil).

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS *(continued)*

YEAR ENDED 31 DECEMBER 2016

8. Trustee remuneration and expenses

No remuneration or other benefits from employment with the charity or a related entity were received by the trustees.

During the year the trustees were reimbursed for expenses they had paid on behalf of the Alliance, as follows (2015 £1,311):

S Searle received £816 for expenses paid on behalf of the Alliance, £111 of which relates to reimbursed travel costs.

J May received £294 for expenses paid on behalf of the Alliance.

J Morgan received £234 to cover out of pocket expenses for travelling. J Morgan was owed £435 for out of pocket expenses at 31st December 2016.

P Drew received £801 for expenses paid on behalf of the Alliance, £141 of which relates to reimbursed travel costs.

9. Debtors

	2016 £	2015 £
Other debtors	<u>288</u>	<u>276</u>

10. Creditors: Amounts falling due within one year

	2016 £	2015 £
Other creditors	<u>435</u>	<u>-</u>
Other creditors – accrued expenses	<u>390</u>	<u>359</u>
	<u>825</u>	<u>359</u>

11. Analysis of charitable funds

Unrestricted funds

	At 1 January 2016 £	Income £	Expenditure £	Transfers £	At 31 December 2016 £
General funds	<u>(568)</u>	<u>1,487</u>	<u>(1,577)</u>	<u>694</u>	<u>36</u>

Restricted funds

	At 1 January 2016 £	Income £	Expenditure £	Transfers £	At 31 December 2016 £
Restricted Fund - Awards for All scheme	<u>5,177</u>	<u>-</u>	<u>(4,483)</u>	<u>(694)</u>	<u>-</u>

STAFFORDSHIRE NEUROLOGICAL ALLIANCE

COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS *(continued)*

YEAR ENDED 31 DECEMBER 2016

12. Analysis of net assets between funds

	Unrestricted Funds £	Total Funds 2016 £
Current assets	861	861
Creditors less than 1 year	(825)	(825)
Net assets	<u>36</u>	<u>36</u>

13. Transition to FRS 102

These are the first financial statements that comply with FRS 102. The charity transitioned to FRS 102 on 1 January 2015.

No transitional adjustments were required in the retained funds or income or expenditure for the year.