

# A

COMPANIES FORM No. 363

## Annual return of a company

# 363

Pursuant to sections 363 and 364 of the Companies Act 1985

**Note** The appropriate fee should accompany this formPlease do not  
write in  
this margin

To the Registrar of Companies

For official use

Company number

[ 518 ]

976092

Please complete  
legibly, preferably  
in black type, or  
bold block lettering

Annual return of (note 1)

\* JAMES CAPEL &amp; CO.

\* insert full name  
of company

The information in this return is as at

31st DECEMBER 1986

† (The date of this return note 1)

† if the company has a  
share capital, this  
date must be the  
14th day after the  
annual general  
meeting

Address of registered office of the company

JAMES CAPEL HOUSE,  
6 BEVIS MARKS, LONDON

Postcode EC3A 7JQ

Total amount of indebtedness of the company  
in respect of mortgages and charges  
(note 2).

£ NIL

If different from the  
registered office, state  
address where the register  
of members or any register  
of debenture holders or  
any duplicate or part of any  
register of debentures is  
kept or may be inspected.

Register of members

Register of debenture holders

Particulars of the secretary

Name (notes 3 and 4) JOHN MARTIN LATHAM

Previous name(s) (note 3)

Address (notes 4 and 5) 6 CRANLEIGH,  
139, LABBROKE ROAD, LONDON Postcode W11 3PX‡ only pages 1 and 2  
need be completed  
in the case of a  
company without  
share capital

We certify this return which comprises pages 1, 2, [3, 4, 5 and 6]‡ [plus 5 THREE continuation sheets]

§ enter number of  
continuation sheets  
attached

Signed

Director, and

Secretary

Presentor's name address and  
reference (if any):J.M. Latham,  
Secretary,  
James Capel & Co.  
James Capel House  
6 Bevis Marks  
London EC3A 7JQFor official Use  
General Section

Post room

ROYAL SCOT  
£ 1600  
P8 038305

Name (note 3) <b>DAVID KEITH GAB HEATHCOTE</b>		Business Occupation <b>STOCKBROKER</b>
Previous name(s) (note 3)		Nationality <b>BRITISH</b>
Address (note 5) <b>BADLINGHAM MANOR,</b> <b>CHIPPENHAM, ELY</b> <b>CAMBRIDGESHIRE</b>		Date of birth (note 9)
Postcode	<b>CB7 5RQ</b>	
Other relevant past or present directorships* (note 8) <b>None</b>		

Name (note 3) <b>JAMES VECTOR CHURCH</b>		Business Occupation <b>STOCKBROKER</b>
Previous name(s) (note 3)		Nationality <b>BRITISH</b>
Address (note 5) <b>"KELSEY CORNER", 2 MANOR WAY,</b> <b>BECKENHAM,</b> <b>KENT</b>		Date of birth (note 9)
Postcode	<b>BR3 3LT</b>	
Other relevant past or present directorships* (note 8) <b>JAMES CAPEL UNIT TRUST MANAGEMENT LTD.,</b> <b>JAMES CAPEL MONEYBROKING LTD., JAMES CAPEL (FINANCIAL FUTURES) LTD (20.8.82-23.9.85)</b>		

Name (note 3) <b>DAVID JOHN DUGDALE</b>		Business Occupation <b>STOCKBROKER</b>
Previous name(s) (note 3)		Nationality <b>BRITISH</b>
Address (note 5) <b>ICOMB BANK, ICOMB,</b> <b>NR. STOW-ON-THE-WOLD, CHELTENHAM,</b> <b>GLOS.</b>		Date of birth (note 9)
Postcode	<b>GL54 1JD</b>	
Other relevant past or present directorships* (note 8) <b>JAMES CAPEL (FINANCIAL FUTURES) LTD,</b> <b>JAMES CAPEL GIFTS LTD, JAMES CAPEL BANKERS LTD.</b>		

Name (note 3) <b>PETER JOHN QUINNEN</b>		Business Occupation <b>STOCKBROKER</b>
Previous name(s) (note 3)		Nationality <b>BRITISH</b>
Address (note 5) <b>HILL HOUSE, 11 WEST MEAD</b> <b>ROEHAMPTON</b> <b>LONDON</b>		Date of birth (note 9)
Postcode	<b>SW15</b>	
Other relevant past or present directorships* (note 8) <b>JAMES CAPEL (FINANCIAL FUTURES) LTD,</b> <b>ALLIED PROVINCIAL SECURITIES LTD, PARSONS &amp; CO. LTD (30.8.85-30.5.86),</b> <b>JAMES CAPEL BANKERS LTD.</b>		

£100,000,000

Please do not  
write in  
this margin

divided into:-

Number of shares	Class	Nominal value of each share
------------------	-------	-----------------------------

1	100,000,000	ORDINARY	E 1
2			E
3			E

**Please complete legibly, preferably in black type, or bold block lettering.**

Issued share capital and debentures

1 Number of shares of each class taken up to the date of this return

**2 Number of shares of each class issued subject to payment wholly in cash**

3 Number of shares of each class issued as fully paid up for a consideration other than cash.

4 Number of shares of each class issued as partly paid up for a consideration other than cash and extent to which each such share is so paid up

**5 Number of shares (if any) of each class issued at a discount**

Number	Class
--------	-------

140,000,000	ORDINARY
-------------	----------

2	
---	--

3	
---	--

1	40,000,000	ORDINARY
---	------------	----------

2	
---	--

3	
---	--

1	NIL	
---	-----	--

2

3

[illegible]

	NIL	
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A blank coordinate grid with x and y axes ranging from -10 to 10. The grid lines are spaced at intervals of 1 unit. The x-axis is labeled from -10 to 10, and the y-axis is labeled from -10 to 10. The origin (0,0) is at the center of the grid.

[illegible]

NIL	
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[illegible][illegible]

continued on page 4

## LIST OF PAST

Folio in register ledger containing particulars	Names and Addresses
43	DKF HEATHCOTE AND OTHERS (JOINTLY HELD) OF JAMES CAPEL HOUSE, 6 BEVIS MARKS, LONDON EC3A 7JG
43/44	ANTROBUS INVESTMENTS LIMITED OF JAMES CAPEL HOUSE, 6 BEVIS MARKS, LONDON EC3A 7JG
43/44	GATESTOCK LIMITED OF 99 BISHOPSGATE, LONDON EC2P 2LA

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## Summary of share capital and debentures continued

Amount

Number

Class

6 Amount of discount on the issue of shares which has not been written off at the date of this return

7 Amount per share called up on number of shares of each class

£	NIL		
£	1	40,000,000	ORDINARY
£			
£			
£	40,000,000		
£	NIL		
£			
£			
£	NIL		
£			
£			
£	NIL		
£			
£			
£	NIL		
£	NIL		
£	NIL		
		NIL	
£	NIL		
£	NIL		
£	NIL		
£	NIL		
		NIL	

8 Total amount of calls received (note 10)

9 Total amount (if any) agreed to be considered as paid on number of shares of each class issued as fully paid up for a consideration other than cash

10 Total amount (if any) agreed to be considered as paid on number of shares of each class issued as partly paid up for a consideration other than cash

11 Total amount of calls unpaid

12 Total amount of sums (if any) paid by way of commission in respect of any shares or debentures

13 Total amount of the sums (if any) allowed by way of discount for any debentures since the date of the last return

14 Total number of shares of each class forfeited

15 Total amount paid (if any) on shares forfeited

16 Total amount of shares for which share warrants to bearer are outstanding

17 Total amount of share warrants to bearer issued and surrendered respectively since the date of the last return

ISSUED

SURRENDERED

18 Number of shares comprised in each share warrant to bearer, specifying in the case of warrants of different kinds, particulars of each kind

## AND PRESENT MEMBERS (notes 11 and 12)

AND PRESENT MEMBERS (notes 11 and 12)

Account of Shares				
Number of shares or amount of stock held by existing members at date of return (note 11)	Particulars of shares transferred since the date of the last return, or, in the case of the first return, of the incorporation of the company, by (a) persons who are still members, and (b) persons who have ceased to be members (note 12)			Remarks
	Number	Date of Registration of transfer (a) (b)		
NIL	1,000,000	-	8.10.86	To GATESTOCK LIMITED
1	-	-	-	From GATESTOCK LIMITED
39,999,999	1	-	3. 3. 86	To ANTROBUS INVESTMENTS
				LIMITED
<u>40,000,000</u>				

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COMPANIES FORM No. 363 (Cont.)

Annual return of a  
company (continuation)

Please complete  
legibly, preferably  
in black type, or  
bold block lettering

Continuation sheet No ONE  
to Form No. 363

Company number

976092

Name of company

\* Insert full name  
of company

\* JAMES CAPEL & CO.

Particulars of the directors of the company continued (notes 6 and 7)

Name (note 3)	ROBERT COMMAE FARRELL	Business Occupation	BANK OFFICIAL
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	4TH FLOOR, 195 BROMPTON ROAD LONDON	Date of birth (note 9)	
	Postcode	SW3	
Other relevant past or present directorships* (note 8) HONGKONG AND SHANGHAI BANKING CORPORATION, BRITISH BANK OF MIDDLE EAST, ASIA-PACIFIC JAPAN SMALL COMPANIES FUND LTD, AGI (FAR EAST) LTD, INTERNATIONAL TREASURY MANAGEMENT LTD, INTERNATIONAL COMMERCIAL BANK PLC, HONGKONG AND SHANGHAI BANK (PENSION TRUSTEE) LTD, CATECHURCH PROPERTY MANAGEMENT LTD.			

\* delete if  
inappropriate.  
Enter particulars  
of other director-  
ships held or  
previously held.  
If this space is  
insufficient continue  
on back page

Name (note 3)	ROGER HAROLD LEWIS BACON	Business Occupation	BANK OFFICIAL
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	CASHAY, WHEATSHAE ENCLOSURE, LIPHOOK HANTS	Date of birth (note 9)	
	Postcode	GU 30 7EH	
Other relevant past or present directorships* (note 8) HONGKONG BANK LTD, GATESTOCK LTD, WISE INTERNATIONAL LTD, ANTONY GIBBS PENSION SERVICES LTD, WARDLEY LONDON LTD			

Name (note 3)	FREDERICK JAMES FERGUSON	Business Occupation	STOCKBROKER
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	ORMONDE HALL BALNEY SUSSEX	Date of birth (note 9)	
	Postcode	RH17 5SE	
Other relevant past or present directorships* (note 8) NONE			

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COMPANIES FORM No. 363 (Cont.)

Annual return of a  
company (continuation)

Continuation sheet No Two  
to Form No. 363

Company number

976092

Name of company

\* JAMES CAPEL & CO

\* Insert full name  
of company

Particulars of the directors of the company continued (notes 6 and 7)

Name (note 3)	LESLIE COLEMAN	Business Occupation	STOCKBROKER
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	MILLSTROOD LODGE 111 MILLSTROOD ROAD, WHITSTABLE KENT	Date of birth (note 9)	
Postcode			
Other relevant past or present directorships* (note 8) JAMES CAPEL (FINANCIAL FUTURES) LTD, JAMES CAPEL GIFTS LTD			

\* delete if  
inappropriate.  
Enter particulars  
of other director-  
ships held or  
previously held.  
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insufficient continue  
on back page

Name (note 3)	NICHOLAS ANDREW FRASER	Business Occupation	STOCKBROKER
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	LONGMEADOW, CHISWICK MALL LONDON	Date of birth (note 9)	
Postcode		W4 2PR	
Other relevant past or present directorships* (note 8) JAMES CAPEL UNIT TRUST MANAGEMENT LTD, JAMES CAPEL INTERNATIONAL ASSET MANAGEMENT LTD, ALLIED PROVINCIAL SECURITIES LTD (ALTERNATE) ROBERT FRASER GALLERY LTD (22.11.71-17.7.84)			

Name (note 3)	JOHN DESMOND SIMMONDS	Business Occupation	STOCKBROKER
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	TITE HOLLIES, DUDLES WELL UCKFIELD EAST SUSSEX	Date of birth (note 9)	
Postcode		TN 22 3BH	
Other relevant past or present directorships* (note 8) JAMES CAPEL (FINANCIAL FUTURES) LTD			

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COMPANIES FORM No. 363 (Cont.)

Annual return of a  
company (continuation)

Continuation sheet No THREE  
to Form No. 363

Company number

976092

Name of company

\* JAMES CAPEL & CO

Particulars of the directors of the company continued (notes 6 and 7)

Name (note 3)	THOMAS RALPH WINNER	Business Occupation	STOCKBROKER
Previous name(s) (note 3)		Nationality	BRITISH
Address (note 5)	RATCLIFFE WHARF	Date of birth (note 9)	
	18 NARROW STREET		
	LONDON	Postcode	E 14
Other relevant past or present directorships* (note 8) JAMES CAPEL UNIT TRUST MANAGEMENT LTD			

Name (note 3)		Business Occupation	
Previous name(s) (note 3)		Nationality	
Address (note 5)		Date of birth (note 9)	
	Postcode		
Other relevant past or present directorships* (note 8)			

Name (note 3)		Business Occupation	
Previous name(s) (note 3)		Nationality	
Address (note 5)		Date of birth (note 9)	
	Postcode		
Other relevant past or present directorships* (note 8)			

Please complete  
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bold block lettering

\* Insert full name  
of company

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inappropriate.  
Enter particulars  
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on back page