



Please complete in typescript,
or in bold black capitals.

CHWP000

LLP2

(Section 2 LLP Act 2000)

Application for Incorporation of a Limited Liability Partnership

Please leave this box blank

OC330201

Full Name of Limited Liability Partnership

CAPSTONE INVESTMENT ADVISORS (UK), LLP

Situation of Registered Office

ENGLAND AND WALES

Insert "England and Wales", "Wales" or "Scotland"

Registered Office Address

21 ST THOMAS STREET
4TH FLOOR

Post town

LONDON

PO Box number
only is not
acceptable

County / Region

UK
Postcode

SE1 9RY

Will all Members from time to
time be designated members?
(List members overleaf)

☐

YES

☒

NO

If no, at least two of the
listed members must be
designated members

Number of continuation sheets
attached to this application for
incorporation

☐

I certify that I am a (Please tick appropriate box)

☐

Solicitor engaged in the formation of this LLP

☒

Member named overleaf of the LLP

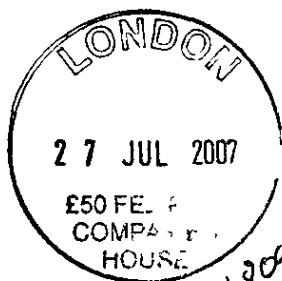
And that the two or more persons named overleaf are associated for
carrying on a lawful business with a view to profit.

Signed

[Signature]

Date

24/7/2007



You do not have to give any contact
information in the box opposite but if you
do, it will help Companies House to
contact you if there is a query on the
form. The contact information that you
give will be visible to searchers of the
public record

THE LONDON LAW AGENCY LTD.	
69 SOUTHAMPTON ROW	
LONDON WC1B 4ET	
DX number	DX exchange

When you have completed and signed the form please send it to the
Registrar of Companies at

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for partnerships registered in England and Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for partnerships registered in Scotland DX 235 Edinburgh
or LP - 4 Edinburgh 2



LD1

27/07/2007

100

COMPANIES HOUSE

FRIDAY

List of Members on Incorporation

Peers or others known by a title may use the title instead of or in addition to their name

Surname or Corporate name

BRITTON

Forename(s)

PAUL

Member Reference Number *
(as advised by Companies House)

51776

Date of Birth

Day Month Year

2 5 0 7 1 9 7 3

†† Usual Residential

Address (or registered or principal office address in the case of a corporation or

252 7th AVENUE

PHT

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under the provisions of section 723B of the Companies Act 1985

☐

Post town

NEW YORK

County / Region

NY10001

UK

Postcode

Country

USA

I consent to act as a member of the limited liability partnership named on page 1

(Please tick this box if consenting to act as a designated member)



* Voluntary information

Signed



Date

24/7/2007

(Member to sign and date)

Peers or others known by a title may use the title instead of or in addition to their name

Surname or Corporate name

CAPSTONE INVESTMENT ADVISORS, LLC

Forename(s)

Member Reference Number *
(as advised by Companies House)

Date of Birth

Day Month Year

†† Usual Residential Address

(or registered or principal office address in the case of a corporation or Scottish firm

44 WALL STREET

4TH FLOOR

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under the provisions of section 723B of the Companies Act 1985

☐

Post town

NEW YORK

County / Region

NY 10005

UK

Postcode

Country

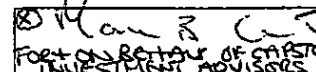
USA

I consent to act as a member of the limited liability partnership named on page 1

(Please tick this box if consenting to act as a designated member)



Signed


FOOT COUNSELLOR OF CAPSTONE INVESTMENT ADVISORS, LLC

Date

27/07/2007

(Member to sign and date)

NOTE: Unless there are at least two designated members, all members will be designated members.

FILE COPY



**CERTIFICATE OF INCORPORATION
OF A
LIMITED LIABILITY PARTNERSHIP**

Partnership No. OC330201

The Registrar of Companies for England and Wales hereby certifies that
CAPSTONE INVESTMENT ADVISORS (UK), LLP

is this day incorporated under the Limited Liability Partnerships Act 2000
as a limited liability partnership and that the partnership is limited.

Given at Companies House, London the 27 July 2007



THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES



Companies House

— for the record —