

# AR01

## Annual Return

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Bute

**A fee is payable with this form**  
Please see 'How to pay' on the last  
page

**You can use the WebFiling service to file this form online.**  
Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

✓ **What this form is for**  
You may use this form to confirm that  
the company information is correct as  
at the date of this return. You must file  
an Annual Return at least once every  
year.

✗ **What this form is NOT for**  
You cannot use this form to give  
notice of changes to the company  
officers, registered office address,  
company type or information relating  
to the company records.

THURSDAY



A30 \*AUBB0YQE\* 90  
27/10/2011  
COMPANIES HOUSE

### Part 1

### Company details

The section must be completed by all companies.

#### → Filing in this form

Please complete in typescript or in  
bold black capitals

All fields are mandatory unless  
specified or indicated by \*

#### A1 Company details

Company number 2 6 4 9 3 4 0

Company name in full UK COAL PLC ✓

#### ① Company name change

If your company has recently changed  
its name, please provide the company  
name as at the date of this return

#### A2 Return date

Please give the annual return made up date. The return date must not be a future date.  
The annual return must be delivered within 28 days of the date given below

Date of this return ② 2 7 10 9 2 0 1 1

#### ② Date of this return

Your company's return date is usually  
the anniversary of incorporation or the  
anniversary of the last annual return  
filed at Companies House. You may  
choose an earlier return date but it  
must not be a later date.

#### A3 Principal business activity

Please show the trade classification code number(s) for the principal activity  
or activities ③

Classification code 1 7 4 1 5 ✓  
Classification code 2  
Classification code 3  
Classification code 4

If you cannot determine a code, please give a brief description of your  
business activity below

Principal activity  
description

#### ③ Principal business activity

You must provide a trade classification  
code (SIC code) or a description of  
your company's main business in this  
section

A full list of the trade classification  
codes are available on our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)



# AR01

## Annual Return

**A4****Company type ①**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☒ Public limited company ✓
- ☐ Private company limited by shares
- ☐ Private company limited by guarantee
- ☐ Private company limited by shares exempt under section 60
- ☐ Private company limited by guarantee exempt under section 60
- ☐ Private unlimited company with share capital
- ☐ Private unlimited company without share capital

**① Company type**

If you are unsure of your company type, please check your latest certificate of incorporation or our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**A5****Registered office address ①**

Building name/number	HARWORTH PARK ✓
Street	BLYTH ROAD
	HARWORTH
Post town	DONCASTER
County/Region	SOUTH YORKSHIRE
Postcode	D N 1 1 8 D B

**① Change of registered office**

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form **AD01** and submit it together with this annual return

**A6****Single alternative inspection location (SAIL) of the company records (if applicable) ①**

Building name/number	EQUINITI LIMITED
Street	ASPECT HOUSE
	SPENCER ROAD
Post town	LANCING
County/Region	WEST SUSSEX
Postcode	

**① SAIL address**

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form **AD02** and submit it together with this annual return

**A7****Location of company records ①**

Please tick the appropriate box to indicate which records are kept at the SAIL address in **Section A6**

- ☐ Register of members
- ☐ Register of directors
- ☐ Directors' service contracts
- ☐ Directors' indemnities
- ☐ Register of secretaries
- ☐ Records of resolutions etc
- ☐ Contracts relating to purchase of own shares
- ☐ Documents relating to redemption or purchase of own share out of capital by private company
- ☐ Register of debenture holders
- ☐ Report to members of outcome of investigation by public company into interests in its shares
- ☐ Register of interests in shares disclosed to public company
- ☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
- ☐ Instruments creating charges and register of charges Scotland

**① Location of company records**

If the company records are held at the registered office address, **do not** tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form **AD03** and submit it together with this annual return



AR01  
Annual Return

**Part 2** **Officers of the company**

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

**Continuation pages**

Please use a continuation page if you need to enter more officer details

**Secretary**

**B1** **Secretary's details** ①

Please use this section to list all the secretaries of the company  
**For a corporate secretary, complete Section C1-C4.**

Title*	
Full forename(s)	RICHARD ANDREW
Surname	COLE ✓
Former name(s) ②	

**① Secretary appointments**

You may not use this form to appoint a secretary. To do this, please complete form **AP03** and submit it together with this annual return.

**Corporate details**

Please use **Section C1-C4** to enter corporate secretary details.

**Secretary details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH03**.

**Former name(s)**

- ② Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**B2** **Secretary's service address** ③

Building name/number	LODGE FARM
Street	EAKRING ROAD
Post town	WELLOW
County/Region	NEWARK
Postcode	N G 2 2 0 E G
Country	

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



## AR01

## Annual Return

## Corporate secretary

**C1 Corporate secretary's details** <sup>1</sup>

Please use this section to list all the corporate secretaries of the company

Corporate body/firm  
name

Building name/number

Street

Post town

County/Region

Postcode

Country

**1 Corporate secretary appointments**  
You cannot use this form to appoint a corporate secretary. To do this, please complete form **AP04** and submit it together with this annual return.

**Corporate secretary details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH04**.

This information will appear on the public record.

**C2 Location of the registry of the corporate body or firm**

Is the corporate secretary registered within the European Economic Area (EEA)?

→ **Yes** Complete **Section C3 only**→ **No** Complete **Section C4 only****C3 EEA companies** <sup>2</sup>

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/  
firm is registered <sup>3</sup>

Registration number

**2 EEA**

A full list of countries of the EEA can be found in our guidance  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

**3** This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

**C4 Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the  
corporate body  
or firm

Governing law

If applicable, where  
the company/firm is  
registered <sup>4</sup>If applicable, the  
registration number**4 Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.



## AR01

## Annual Return

## Director

D1

Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
**For a corporate director, complete Section E1-E4**

Title*	
Full forename(s)	DAVID GRAHAM
Surname	BROCKSOM
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	BRITISH
Date of birth	<div> <div>d</div> <div>1</div> <div>d</div> <div>0</div> <div>m</div> <div>1</div> <div>m</div> <div>1</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>0</div> </div> <input checked="" type="checkbox"/>
Business occupation (if any)	ACCOUNTANT

<sup>①</sup> Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**

Please use **Section E1-E4** to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

<sup>②</sup> Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address <sup>①</sup>

Building name/number	7
Street	YORK ROAD
Post town	HARROGATE
County/Region	NORTH YORKSHIRE
Postcode	<div> <div>H</div> <div>G</div> <div>1</div> <div></div> <div>2</div> <div>Q</div> <div>A</div> </div>
Country	

<sup>①</sup> Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



## AR01

## Annual Return

## Director

D1

## Director's details ①

Please use this section to list all the directors of the company  
**For a corporate director, complete Section E1-E4.**

Title*	
Full forename(s)	JONSON
Surname	COX
Former name(s) ②	
Country/State of residence	United Kingdom
Nationality	BRITISH
Date of birth	<div> <div>d</div> <div>1</div> <div>d</div> <div>1</div> <div>m</div> <div>1</div> <div>m</div> <div>0</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>5</div> <div>y</div> <div>6</div> </div> <input checked="" type="checkbox"/>
Business occupation (if any)	EXECUTIVE CHAIRMAN

## ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

## Corporate details

Please use **Section E1-E4** to enter corporate director details.

## Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

## ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

## Director's service address ①

Building name/number	WESTFIELD FARM
Street	MILL LANE
	BRICKHILL
Post town	MILTON KEYNES
County/Region	BUCKINGHAMSHIRE
Postcode	<div> <div>M</div> <div>K</div> <div>1</div> <div>7</div> <div></div> <div>9</div> <div>B</div> <div>G</div> </div>
Country	

## ① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



# AR01 - continuation page

## Annual Return

### Director

**D1**

#### Director's details ①

Please use this section to list all the directors of the company  
**For a corporate director, complete Section E1-E4**

Title*	
Full forename(s)	Peter Frank
Surname	Hazell
Former name(s) ②	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div> <div>d</div> <div>0</div> <div>d</div> <div>4</div> <div>m</div> <div>0</div> <div>m</div> <div>8</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>8</div> </div>
Business occupation (if any)	Company Director

#### ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

#### Corporate details

Please use **Section E1-E4** to enter corporate director details.

#### Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

#### ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address ①

Building name/number	5
Street	Paddock Close
	Blackheath Park
Post town	London
County/Region	
Postcode	<div> <div>S</div> <div>E</div> <div>3</div> <div></div> <div>0</div> <div>E</div> <div>S</div> </div>
Country	

#### ① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



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## Annual Return

### Director

<b>D1</b>	<b>Director's details ①</b>	
	Please use this section to list all the directors of the company <b>For a corporate director, complete Section E1-E4.</b>	
Title*		
Full forename(s)	KEITH LOUIS	
Surname	HELLER	
Former name(s) ②		
Country/State of residence	United Kingdom	
Nationality	CANADIAN	
Date of birth	<div> <div>d</div> <div>0</div> <div>d</div> <div>3</div> <div>m</div> <div>0</div> <div>m</div> <div>4</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>8</div> </div>	
Business occupation (if any)	COMPANY DIRECTOR	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**  
Please use **Section E1-E4** to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address ①</b>	
Building name/number	THE COTTAGE	
Street	19-28 WARWICK CHAMBERS	
	PATER STREET, KENSINGTON	
Post town	LONDON	
County/Region		
Postcode	<div> <div>W</div> <div>8</div> <div></div> <div>6</div> <div>E</div> <div>N</div> <div></div> <div></div> </div>	
Country		

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



# AR01 - continuation page

## Annual Return

### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
**For a corporate director, complete Section E1-E4.**

Title*	
Full forename(s)	PETER CHARLES FLETCHER
Surname	HICKSON
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	BRITISH
Date of birth	<div> <div>d</div> <div>3</div> <div>d</div> <div>0</div> <div>m</div> <div>0</div> <div>m</div> <div>5</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>4</div> <div>y</div> <div>5</div> </div> <input checked="" type="checkbox"/>
Business occupation (if any)	COMPANY DIRECTOR

#### ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

#### Corporate details

Please use **Section E1-E4** to enter corporate director details.

#### Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

#### ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>①</sup>

Building name/number	THE COMPANY'S REGISTERED OFFICE
Street	
Post town	
County/Region	
Postcode	
Country	

#### ① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



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## Annual Return

### Director

<b>D1</b>	<b>Director's details</b> ①	<b>① Director appointments</b> You cannot use this form to appoint a director. To do this, please complete form <b>AP01</b> and submit it together with this annual return.  <b>Corporate details</b> Please use <b>Section E1-E4</b> to enter corporate director details.  <b>Director details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form <b>CH01</b> .  <b>② Former name(s)</b> Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.																	
	Please use this section to list all the directors of the company. <b>For a corporate director, complete Section E1-E4.</b>																		
Title*																			
Full forename(s)	RICHARD OWEN																		
Surname	MICHAELSON																		
Former name(s) ②																			
Country/State of residence	United Kingdom																		
Nationality	BRITISH																		
Date of birth	<table><tr><td>d</td><td>1</td><td>d</td><td>2</td><td>m</td><td>1</td><td>m</td><td>0</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>6</td><td>y</td><td>6</td><td>✓</td></tr></table>		d	1	d	2	m	1	m	0	y	1	y	9	y	6	y	6	✓
d	1		d	2	m	1	m	0	y	1	y	9	y	6	y	6	✓		
Business occupation (if any)	COMPANY DIRECTOR																		

<b>D2</b>	<b>Director's service address</b> ①	<b>① Service address</b> If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.  This information will appear on the public record.								
Building name/number	FOUR WINDS									
Street	EBFORD									
Post town	EXETER									
County/Region	DEVON									
Postcode	<table><tr><td>E</td><td>X</td><td>3</td><td></td><td>0</td><td>P</td><td>A</td><td></td></tr></table>		E	X	3		0	P	A	
E	X		3		0	P	A			
Country										



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## Annual Return

### Director

**D1****Director's details ①**

Please use this section to list all the directors of the company  
**For a corporate director, complete Section E1-E4.**

Title*																		
Full forename(s)	STEVEN																	
Surname	UNDERWOOD																	
Former name(s) ②																		
Country/State of residence	United Kingdom																	
Nationality	BRITISH																	
Date of birth	<table><tr><td>d</td><td>1</td><td>d</td><td>2</td><td>m</td><td>0</td><td>m</td><td>3</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>7</td><td>y</td><td>4</td><td></td></tr></table> ✓	d	1	d	2	m	0	m	3	y	1	y	9	y	7	y	4	
d	1	d	2	m	0	m	3	y	1	y	9	y	7	y	4			
Business occupation (if any)	DIRECTOR																	

**① Director appointments**

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**

Please use **Section E1-E4** to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address ①**

Building name/number	TOWER VIEW								
Street	LUMB CARR ROAD								
Post town	HOLCOMBE								
County/Region	LANCASHIRE								
Postcode	<table><tr><td>B</td><td>L</td><td>8</td><td></td><td>4</td><td>N</td><td>N</td><td></td></tr></table>	B	L	8		4	N	N	
B	L	8		4	N	N			
Country									

**① Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



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## Annual Return

### Director

#### D1 Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
**For a corporate director, complete Section E1-E4**

Title*																		
Full forename(s)	GARETH JOHN																	
Surname	WILLIAMS																	
Former name(s) <sup>②</sup>																		
Country/State of residence	United Kingdom																	
Nationality	BRITISH																	
Date of birth	<table><tr><td>d</td><td>3</td><td>d</td><td>1</td><td>m</td><td>0</td><td>m</td><td>8</td><td>y</td><td>1</td><td>y</td><td>9</td><td>y</td><td>6</td><td>y</td><td>6</td><td></td></tr></table>	d	3	d	1	m	0	m	8	y	1	y	9	y	6	y	6	
d	3	d	1	m	0	m	8	y	1	y	9	y	6	y	6			
Business occupation (if any)	DIRECTOR OF MINING																	

#### ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

#### Corporate details

Please use **Section E1-E4** to enter corporate director details.

#### Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

#### ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

#### D2 Director's service address <sup>①</sup>

Building name/number	NEWSTEAD GRANGE								
Street	BEVERLEY ROAD NORTON								
Post town	MALTON								
County/Region									
Postcode	<table><tr><td>Y</td><td>O</td><td>1</td><td>7</td><td></td><td>9</td><td>P</td><td>J</td></tr></table>	Y	O	1	7		9	P	J
Y	O	1	7		9	P	J		
Country									

#### ① Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.



# AR01

## Annual Return

### Corporate director

#### E1 Corporate director's details

Please use this section to list all the corporate director's of the company

Corporate body/firm  
name

Building name/number

Street

Post town

County/Region

Postcode

Country

#### Corporate director appointments

You cannot use this form to appoint a corporate director. To do this, please complete form **AP02** and submit it together with this annual return.

#### Corporate director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH02**.

This information will appear on the public record.

#### E2 Location of the registry of the corporate body or firm


Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**

→ No Complete **Section E4 only**

#### E3 EEA companies


Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/  
firm is registered 

Registration number

#### EEA

A full list of countries of the EEA can be found in our guidance [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)


 This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

#### E4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the  
corporate body  
or firm

Governing law

If applicable, where  
the company/firm is  
registered 

If applicable, the  
registration number

#### Non-EEA

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.



AR01

Annual Return

**Part 3****Statement of capital** ①

Does your company have share capital?

- **Yes** Complete the sections below and the following **Part 4**  
 → **No** Go to **Part 5 (Signature)**.

① This should reflect the company's capital status at the made up date of this annual return

**F1****Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling

If all your issued capital is in sterling, only complete **Section F1** and then go to **Section F4**

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
ORDINARY 1p	20.56p	-	157,252,747	£1,572,527.47
				£
ORDINARY 1p	75.0p	-	142,045,413	£1,420,454.13
				£
<b>Totals</b>			299,298,160	£2,992,981.60

**F2****Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency	Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
<b>Totals</b>					

Currency	Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
<b>Totals</b>					

**F3****Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares	299,298,160 ✓
Total aggregate nominal value ⑤	2,992,981.60 ✓

⑤ **Total aggregate nominal value**  
 Please list total aggregate values in different currencies separately. For example £100 + €100 + \$10 etc

② Including both the nominal value and any share premium

③ Number of shares issued multiplied by nominal value of each share

④ Total number of issued shares in this class

**Continuation Pages**

Please use a Statement of Capital continuation page if necessary



## AR01

## Annual Return

F4

## Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Sections F1 and F2**

Class of share

ORDINARY 1p

Voting rights

ON A SHOW OF HANDS AT A GENERAL MEETING A MEMBER MAY VOTE. ON A POLL EVERY MEMBER PRESENT IN PERSON OR BY PROXY SHALL HAVE ONE VOTE PER SHARE A MEMBER MAY RECEIVE A DIVIDEND AND IN A LIQUIDATION MAY SHARE IN THE ASSETS OF THE COMPANY THE COMPANY HAS ONE CLASS OF ORDINARY SHARES WHICH CARRY EQUAL VOTING RIGHTS AND NO CONTRACTUAL RIGHT TO RECEIVE A PAYMENT

Class of share

Voting rights

Class of share

Voting rights

Class of share

Voting rights



## Part 4

## Shareholders

Does your company have share capital?

- **Yes** Complete the sections below
- **No** Go to **Part 5 (Signature)**

① This should reflect the shareholder details at the made up date of this annual return

**G1**

### Traded public companies ②

- ☒ Please tick the box if your company was a traded public company at any time during the period of this return

② **Traded company definition**  
A traded company means a company any of whose shares are admitted to trading on a regulated market

**G2**

### List of past and present shareholders

Private and non-traded public companies are required to provide a 'full list' if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

- ☐ A full list of shareholders for a private or non-traded public company is enclosed Please complete **Section G3**, or
- ☒ A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed Please complete **Section G4**
- ☐ A list of shareholder changes is enclosed
  - For private or non-traded public companies, please complete **Section G3**
  - For traded public companies, please complete **Section G4**
- ☒ There were no shareholder changes in this period
  - Go to **Part 5 (Signature)**

Please tick the appropriate box below to indicate the format of your shareholder details

- ☐ The list of shareholders is enclosed on paper
- ☒ The list of shareholders is enclosed in another format



AR01

Annual Return

**Part 5**

**Signature**

*This must be completed by all companies*

I am signing this form on behalf of the company

Signature

Signature

X



X

This form may be signed by

Director ☒ Secretary, Person authorised ☒ Charity commission receiver and manager, CIC manager, Judicial factor

**1 Societas Europaea**

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

**2 Person authorised**

Under either section 270 or 274 of the Companies Act 2006



AR01

Annual Return

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record

Contact name

Company name

A D WEST, SOLICITOR, UK

COAL PLC

Address

HARWORTH PARK, BLYTH ROAD

HARWORTH

Post town

DONCASTER

County/Region

Postcode

D N 1 1 8 D B

Country

DX

Telephone

**Checklist**

**We may return forms completed incorrectly or with information missing**

**Please make sure you have remembered the following.**

- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee

**Important information**

**Please note that all information on this form will appear on the public record**

**How to pay**

**A fee of £30 is payable to Companies House in respect of an Annual Return**

Make cheques or postal orders payable to 'Companies House'

**Where to send**

**You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:**

**For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

**For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

**For companies registered in Northern Ireland:**

The Registrar of Companies, Companies House,  
First Floor, Waterfront Plaza, 8 Laganbank Road,  
Belfast, Northern Ireland, BT1 3BS  
DX 481 N R Belfast 1

**Further information**

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)



Run Date: 05-Oct-2011 12:30:01

0977 UK Coal

ANNUAL RETURN

Reg no: 2649340 Client: UK Coal Plc

Report Between: 28-Sep-2010 to 27-Sep-2011

LIST OF CHANGES TO MEMBERS' DETAILS AND SHARE PARTICULARS, SINCE THE DATE OF THE LAST RETURN, AS REQUIRED UNDER SECTION 854 OF COMPANIES ACT 2006

An asterisk in the final column denotes that the holder(s) now re-appears elsewhere in the register.

STOCK CODE		FULL STOCK TITLE	
4317		Ordinary Shares of 1p	
NAME AND STOCK ADDRESS TRANSFERRED	DATE	REGISTERED	
HANOVER NOMINEES LIMITED			
JP MORGAN CHASE CHASESIDE BOURNEMOUTH DORSET BH7 7DA			
-3,300	26-Oct-2010		
-525,000	30-Nov-2010		
-50,000	02-Dec-2010		
-37,000	03-Dec-2010		
-100,000	06-Dec-2010		
-195,000	07-Dec-2010		
-105,000	08-Dec-2010		
-100,000	09-Dec-2010		

UBS03

4317

25,508,441



0977 UK Coal

-100,000	10-Dec-2010
-93,800	14-Dec-2010
-170,000	15-Dec-2010
-200,000	16-Dec-2010
-265,000	17-Dec-2010
-275,000	20-Dec-2010
-305,000	22-Dec-2010
-108,003	23-Dec-2010
-671,000	29-Dec-2010
-1,130	22-Mar-2011
-6,816	01-Apr-2011
-8,250	20-Apr-2011
442,278	28-Apr-2011
178,712	03-May-2011
156,058	04-May-2011
287,500	06-May-2011
455,587	27-Jul-2011
169,137	29-Jul-2011
244,308	04-Aug-2011
679,132	11-Aug-2011
153,400	18-Aug-2011
300,000	23-Aug-2011
330,000	02-Sep-2011



0977 UK Coal

279,620 08-Sep-2011  
 -4,800 09-Sep-2011  
 3,813,508 20-Sep-2011

HSDL NOMINEES LIMITED

4317

90,045,132

TRINITY ROAD  
 HALIFAX  
 HX1 2RG

10,000 29-Sep-2010  
 -694 30-Sep-2010  
 1,556 30-Sep-2010  
 4,740 01-Oct-2010  
 1,316 04-Oct-2010  
 -7,794 04-Oct-2010  
 16,694 04-Oct-2010  
 -14,949 05-Oct-2010  
 30,070 06-Oct-2010  
 3,000 06-Oct-2010  
 12,619 07-Oct-2010  
 -16,000 07-Oct-2010  
 3,000 08-Oct-2010  
 20,600 11-Oct-2010  
 -36,991 11-Oct-2010  
 1,432 12-Oct-2010  
 22,842 13-Oct-2010



0977 UK Coal

410	14-Oct-2010
-7,707	15-Oct-2010
5,771	18-Oct-2010
3,800	19-Oct-2010
-358	20-Oct-2010
96	20-Oct-2010
-23,072	21-Oct-2010
-41,550	22-Oct-2010
-56,923	25-Oct-2010
1,418	27-Oct-2010
-181	27-Oct-2010
4,623	29-Oct-2010
8,888	01-Nov-2010
-326	01-Nov-2010
6,333	02-Nov-2010
-1,740	02-Nov-2010
1,000	02-Nov-2010
41,173	03-Nov-2010
-27,646	04-Nov-2010
24,294	05-Nov-2010
4,170	08-Nov-2010
-2,152	08-Nov-2010
22,779	09-Nov-2010
833	09-Nov-2010



0977 UK Coal

2,000	10-Nov-2010
1,045	11-Nov-2010
-25,627	11-Nov-2010
9,900	12-Nov-2010
-16,041	15-Nov-2010
2,364	15-Nov-2010
1,141	16-Nov-2010
-12,500	16-Nov-2010
-2,306	17-Nov-2010
8,666	18-Nov-2010
-53,629	18-Nov-2010
-4,010	19-Nov-2010
2,106	19-Nov-2010
2,000	19-Nov-2010
2,160	19-Nov-2010
-33,954	22-Nov-2010
3,000	22-Nov-2010
15,059	23-Nov-2010
-2,436	23-Nov-2010
14,172	24-Nov-2010
25,164	24-Nov-2010
-12,820	25-Nov-2010
-240,432	29-Nov-2010



0977 UK Coal

4,548	30-Nov-2010
-14,797	30-Nov-2010
-38,212	01-Dec-2010
7,276	02-Dec-2010
117	02-Dec-2010
-12,004	03-Dec-2010
4,474	06-Dec-2010
-34,931	06-Dec-2010
21,988	07-Dec-2010
6,536	07-Dec-2010
15,421	08-Dec-2010
20,000	08-Dec-2010
10,000	09-Dec-2010
802	09-Dec-2010
110	09-Dec-2010
19,726	10-Dec-2010
5,995	10-Dec-2010
-48,672	13-Dec-2010
-500	14-Dec-2010
-21,421	16-Dec-2010
20,261	17-Dec-2010
818	21-Dec-2010
26,152	22-Dec-2010
1,290	23-Dec-2010



0977 UK Coal

9,105	24-Dec-2010
132	24-Dec-2010
1,368	24-Dec-2010
13,145	29-Dec-2010
7,647	29-Dec-2010
-13,022	30-Dec-2010
8,303	31-Dec-2010
-21,596	04-Jan-2011
316	05-Jan-2011
-35,499	06-Jan-2011
-15,273	07-Jan-2011
-23,215	10-Jan-2011
-3,042	11-Jan-2011
4,593	11-Jan-2011
7,128	12-Jan-2011
2,293	12-Jan-2011
344	12-Jan-2011
-17,961	14-Jan-2011
-7,138	17-Jan-2011
2,894	17-Jan-2011
2,228	17-Jan-2011
-20,770	18-Jan-2011
1,807	18-Jan-2011



0977 UK Coal

12,908	19-Jan-2011
5,267	19-Jan-2011
1,527	19-Jan-2011
-41,759	20-Jan-2011
4,733	21-Jan-2011
-25,507	21-Jan-2011
677	24-Jan-2011
-8,105	24-Jan-2011
43,901	24-Jan-2011
-43,333	25-Jan-2011
20,000	26-Jan-2011
-5,191	27-Jan-2011
-74,360	28-Jan-2011
-2,013	31-Jan-2011
-15,594	01-Feb-2011
442	02-Feb-2011
3,319	02-Feb-2011
5,420	03-Feb-2011
36	04-Feb-2011
158	07-Feb-2011
-19,341	08-Feb-2011
1,034	09-Feb-2011
693	10-Feb-2011
1,023	10-Feb-2011



0977 UK Coal

5,000	10-Feb-2011
3,072	11-Feb-2011
3,000	11-Feb-2011
-12,000	14-Feb-2011
1,889	15-Feb-2011
3,067	17-Feb-2011
20,640	18-Feb-2011
4,536	21-Feb-2011
8,343	22-Feb-2011
253	22-Feb-2011
-23,381	23-Feb-2011
-6,859	24-Feb-2011
1,465	25-Feb-2011
1,038	25-Feb-2011
2,317	28-Feb-2011
4,734	01-Mar-2011
-1,000	02-Mar-2011
1,000	03-Mar-2011
1,000	04-Mar-2011
24,414	09-Mar-2011
48	09-Mar-2011
-2,281	10-Mar-2011
-30,000	11-Mar-2011



0977 UK Coal

-11,667	14-Mar-2011
2,302	15-Mar-2011
-1,071	16-Mar-2011
30,067	17-Mar-2011
10,249	18-Mar-2011
2,460	21-Mar-2011
9,488	22-Mar-2011
299	22-Mar-2011
-7,665	23-Mar-2011
-8,744	25-Mar-2011
-1,138	28-Mar-2011
-96	28-Mar-2011
-10,000	29-Mar-2011
-2,752	31-Mar-2011
-10,000	01-Apr-2011
114	01-Apr-2011
-5,000	04-Apr-2011
-753	06-Apr-2011
11,569	07-Apr-2011
7,465	08-Apr-2011
1,000	11-Apr-2011
2,500	11-Apr-2011
51,712	12-Apr-2011
-381	14-Apr-2011



0977 UK Coal

-4,146	15-Apr-2011
23,477	18-Apr-2011
-14,944	19-Apr-2011
-1,540	21-Apr-2011
-869	26-Apr-2011
1,500	26-Apr-2011
6	26-Apr-2011
-27,530	27-Apr-2011
-6,000	27-Apr-2011
-17,124	28-Apr-2011
-8,395	03-May-2011
-2,010	04-May-2011
-1,862	05-May-2011
-1,525	06-May-2011
116	09-May-2011
-9,167	10-May-2011
-793	11-May-2011
-7,576	12-May-2011
1,250	13-May-2011
3,262	16-May-2011
-681	18-May-2011
2,458	18-May-2011
-332	19-May-2011



0977 UK Coal

336	19-May-2011
6,528	20-May-2011
847	23-May-2011
126,898	23-May-2011
9,360	26-May-2011
2,450	27-May-2011
-4,917	01-Jun-2011
251	02-Jun-2011
-10,155	03-Jun-2011
874	08-Jun-2011
-3,713	09-Jun-2011
-5,443	10-Jun-2011
1,270	13-Jun-2011
-515	20-Jun-2011
520	21-Jun-2011
50	24-Jun-2011
-8,000	29-Jun-2011
-477	30-Jun-2011
260	04-Jul-2011
242	06-Jul-2011
3,155	07-Jul-2011
-5,165	08-Jul-2011
1,287	08-Jul-2011
-2,770	12-Jul-2011



0977 UK Coal

-2,000	13-Jul-2011
3,000	14-Jul-2011
-3,360	15-Jul-2011
3,940	18-Jul-2011
-966	20-Jul-2011
256	20-Jul-2011
500	21-Jul-2011
-7,808	25-Jul-2011
1,000	26-Jul-2011
-8,083	27-Jul-2011
2,412	29-Jul-2011
2,411	29-Jul-2011
2,940	02-Aug-2011
-7,307	03-Aug-2011
-173	04-Aug-2011
-2,789	05-Aug-2011
-4,300	08-Aug-2011
-6,999	09-Aug-2011
-833	10-Aug-2011
2,000	10-Aug-2011
-1,574	11-Aug-2011
-940	12-Aug-2011
2,452	15-Aug-2011



0977 UK Coal

-25,576	17-Aug-2011
4,867	18-Aug-2011
-2,554	19-Aug-2011
250	22-Aug-2011
-4,180	23-Aug-2011
-4,294	24-Aug-2011
2,545	25-Aug-2011
2,530	25-Aug-2011
7,536	26-Aug-2011
-12,635	30-Aug-2011
18,531	31-Aug-2011
-128,398	01-Sep-2011
289	01-Sep-2011
-41,913	02-Sep-2011
3,000	02-Sep-2011
3,904	02-Sep-2011
-77,696	05-Sep-2011
-22,275	06-Sep-2011
-427	07-Sep-2011
140	08-Sep-2011
-2,542	09-Sep-2011
2,595,052	09-Sep-2011
2,213	12-Sep-2011
1,000	13-Sep-2011



-2,523	14-Sep-2011
8,326	15-Sep-2011
-566	16-Sep-2011
919	27-Sep-2011
202	27-Sep-2011

## THE BANK OF NEW YORK (NOMINEES) LIMITED

4317

16,368,460

ONE PICCADILLY GARDENS  
MANCHESTER  
M1 1RN

-51,237	13-Oct-2010
-43,744	19-Oct-2010
47,407	19-Oct-2010
-2,830	19-Nov-2010
4,310	13-Dec-2010
662	14-Dec-2010
57,111	16-Dec-2010
2,500	20-Dec-2010
50,000	23-Dec-2010
-350,000	10-Jan-2011
-387,756	11-Jan-2011
-35,000	12-Jan-2011
-285,410	13-Jan-2011
-100,000	14-Jan-2011
-6,904,628	17-Jan-2011



0977 UK Coal

-1,615,156	17-Jan-2011
-515,631	18-Jan-2011
7,550	19-Jan-2011
-6,304	28-Jan-2011
21,739	23-Feb-2011
22,613	03-Mar-2011
-22,613	21-Mar-2011
-440,200	30-Mar-2011
2,000	06-Apr-2011
-6,630	03-Jun-2011
72,111	03-Jun-2011
240,867	22-Jul-2011
-5,000	03-Aug-2011
7,000	04-Aug-2011
48,719	10-Aug-2011
20,000	10-Aug-2011
-61,326	11-Aug-2011
-33,943	05-Sep-2011

STOCK CODE ISSUED CAPITAL  
4317 299,298,160

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