

## Simple Form for Accounts of Dormant Company for Filing Purposes

ADVISOR COMPLIANCE

**LIMITED****Company Number**

0324 2487

BALANCE SHEET at 31-8-03



Called up share capital (not paid)

## Fixed assets

## Intangible assets

## Tangible assets

Investments (see Note 3 overleaf)

### Current assets

## Stocks

Debtors (see Note 4 overleaf)

Investments

Cash at bank and in hand

Prepayments and accrued income

**Creditors:** amounts falling due within one year

Net current assets/liabilities

Total assets less current liabilities

Creditors: amounts falling due after more than one year (see Note 5 overleaf)

Provisions for liabilities and charges

### Accruals and deferred income

## Capital and reserves

Called up share capital (see *Note 6 overleaf*)

Share premium account

Revaluation reserve

### Other reserves

### Profit and loss account

### Total Equity Shareholders' Funds

**EITHER:**

[For accounts submitted in respect of a financial year ending before 26 July 2000]

**The Company was dormant throughout the financial year.**

**OR:**

[For accounts submitted in respect of a financial year ending on or after 26 July 2000]

- (a) For the year ended [31 August 2003] the company was entitled to exemption under section 249AA(1) of the Companies Act 1985.
- (b) Members have not required the company to obtain an audit in accordance with section 249B of the Companies Act 1985.
- (c) The directors acknowledge their responsibility for:
  - (i) ensuring the company keeps accounting record which comply with section 221; and
  - (ii) preparing accounts which give a true and fair view of the state of affairs of the company as at the end of the financial year, and of its profit or loss for the financial year, in accordance with the requirements of section 226, and which otherwise comply with the requirements of the Companies Act relating to accounts, so far as is applicable to the company.

\* Insert date.

These financial statements were approved by the board of directors on 12 DECEMBER 2003

PC Singer

Director (only one director need sign)

A company which qualifies as being dormant under the Companies Act may, if it so chooses, in respect of accounting periods ending before 26 July 2000, resolve not to appoint auditors. In respect of an accounting period ending on or after 26 July 2000 a dormant company may claim exemption from audit. In both cases a dormant company may submit an abbreviated balance sheet and notes to Companies House. This form may be used (with appropriate amendment) by a dormant company in respect of a financial year ending before, on or after 26 July 2000. The above balance sheet contains all headings required under the formats used included in the Companies Act 1985 for filing purposes. [For the majority of companies using this form, many of these headings can be deleted.] The use of this form in no way affects the officers' statutory duty in relation to the financial statements.

## NOTES

1. The company's principal accounting policies were as follows<sup>(1)</sup>: *N/A*.
  2. The company's ultimate holding company is *THE ADVISOR GROUP PLC* which is incorporated in *ENGLAND + WALES*.
  3. If investments include any holding amounting to 10% or more of the nominal value of any class of share, or exceeding 10% of the investing company's assets: *N/A*.
    - (a) name of company and country of incorporation (or country of legislation if Great Britain)
    - (b) identity and proportion of the nominal value of each class of share held.
- If any holding amounts to 20% or more of the nominal value of the shares there shall also be disclosed:
- (a) the aggregate amount of the capital and reserves of the undertaking
  - (b) its profit or loss for that year.

[illegible]

6. The authorised share capital is<sup>(2)</sup>:

No. 100 shares of £1 (p)

The issued share capital is<sup>(2)</sup>:

No. 1 shares of £1 (p) paid

(Assumes no non-equity shares in existence see FRS 4)

Details of allotments made (in previous year) are: N/A .

7. If there are any loans, quasi loans or credit transactions with directors or officers or transactions in which directors or persons connected with them have a material interest, then the disclosure required by the Companies Act 1985 s. 232 and Sch 6, parts II and III, should be attached on a separate sheet.

8. The basis upon which sums originally in a foreign currency have been translated into sterling is: N/A .

9. The Company has acted as an agent for the following person<sup>(3)</sup>: N/A .

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1. Include those policies relating to depreciation and diminution in value of assets.
  2. If shares of more than one class have been allotted, give the number and aggregate nominal value of shares of each class allotted. Details of any redeemable shares allotted must also be given.
  3. Applies to accounts prepared in respect of financial years ending on or after 26 July 2000.

