



BLUEPRINT
Company Secretary

Please complete in typescript,
or in bold black capitals.

CHFP055

363a

Annual Return

HSBC £60x4

900333

Company Number 14259

Company Name in full HSBC Bank plc

Date of this return

The information in this return is made up to

Day	Month	Year
08	05	2001

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day	Month	Year

Registered Office

Show here the address at the date of
this return.

Poultry

Any change of
registered office
must be notified
on form 287.

Post town London

County / Region

UK Postcode EC2P 2BX

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6512

If the code number is

terminated,
I activity.

clearing bank & holding company



A49
COMPANIES HOUSE

0749
21/07/01

COMPANIES HOUSE

04/08/01

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

10 Lower Thames Street

Post town London

County / Region

UK Postcode EC3R 6AE

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

COMPUTERSHARE SERVICES PLC

7th Floor Jupiter House

Triton Court

Post town 14 Finsbury Square

County / Region London

UK Postcode EC2A 1BR

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Ian Bruce

* Voluntary details.

Surname

MARSHALL

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Address

Hungershall Lodge

Hungershall Park

Post town

Tunbridge Wells

County / Region

Kent

UK Postcode

TN4 8ND

Country

England

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Directors

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 1 4 0 9 1 9 4 2

Forename(s) David William

Surname BAKER

Address

The Willows

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Glen Road

Post town Newton Harcourt

County / Region Leicestershire

UK Postcode LE8 9FH

Country

Nationality British

Business occupation Banker

* Voluntary details.

Name * Style / Title Sir

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 4 0 7 1 9 4 1

Forename(s) John Reginald Hartnell

Surname BOND

Address

Munster House

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

46 Roland Way

Post town London

County / Region

UK Postcode SW7 3RE

Country

Nationality British

Business occupation Group Chairman, HSBC Holdings plc

Issued share capital

Enter details of all the shares in issue

Class
 (e.g. Ordinary/Preference)

**Number of
shares issued**
**Aggregate
Nominal Value**
*(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)*

Non-cumulative second US dollar preference shares

4,000,000

US\$40,000.000

Non-cumulative US dollar preference shares

66,000,000

US\$660,000.000

Ordinary Shares

796,903,210

£796,903,210.000

Preferred Ordinary

1

£1.000

TotalsSee continuation
sheet**List of past and present shareholders***(Use attached schedule where appropriate)*

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper in another format

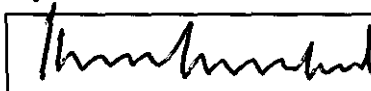
A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my

Signed**Date**

1 June 2001

† Please delete as appropriate.

† ~~director~~ /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

7

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Mr R H Musgrove, HSBC Holdings plc, 10 Lower Thames Street, London,

EC3R 6AE

Tel 0207 260 0375

DX number DX exchange

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day	Month	Year
08	12	1943

Date of birth

Forename(s) William Robert Patrick

Surname DALTON

Address

7 Cambridge Place

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region

UK Postcode W8 5PB

Country England

Nationality Canadian/Irish (dual)

Business occupation Chief Executive - HSBC Bank plc

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day	Month	Year
28	09	1943

Date of birth

Forename(s) Charles Francis Wiener

Surname DE CROISSET

Address

31 Rue de Bellechasse

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Paris

County / Region

UK Postcode 75007

Country France

Nationality French

Business occupation Banker

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
3 1 0 8 1 9 4 9

Forename(s) Roger

Surname EMERSON

Address

Tudor Glade

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

12 Ashley Rise

Post town Walton on Thames

County / Region Surrey UK Postcode KT12 1ND

Country England Nationality British

Business occupation Non-executive Director

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
1 5 0 8 1 9 5 2

Forename(s) Charles-Henri

Surname FILIPPI

Address

176 Boulevard Saint-Germain

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town 75006 Paris

County / Region UK Postcode

Country France Nationality French

Business occupation Bank Executive

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day	Month	Year
07	11	1948

Forename(s) Stephen Keith

Surname GREEN

Address

44 Scarsdale Villas

Kensington

Post town London

County / Region

UK Postcode W8 6PP

Country

Nationality British

Business occupation Banker

* Voluntary details.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day	Month	Year
25	05	1948

Forename(s) Antony John

Surname HALES

Address

Belvoir House

Edstone Court

Wooton Wawen

Post town Solihull

County / Region

West Midlands

UK Postcode B95 6DD

Country

Nationality British

Business occupation Non-executive Director

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day	Month	Year
1	4	1 0 1 9 3 8

Forename(s) Richard Michael James

Surname ORGILL

Address

26

Petersham Place

South Kensington

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region

UK Postcode SW7 5PU

Country

Nationality British

Business occupation Global Head, Corporate & Institutional Banking

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day	Month	Year
2	2	1 2 1 9 5 6

Forename(s) Alison Clare

Surname REED

Address

6 Sandringham Court

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Westleigh Avenue

Post town Putney

County / Region

London

UK Postcode SW15 6RE

Country

Nationality British

Business occupation Non-executive Director

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
1 6 0 4 1 9 4 0

Forename(s) Harry Anthony

Surname ROSE

Address

The Old School House

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Old School Lane

Post town Lighthorne

County / Region Warwick

UK Postcode CV35 OAX

Country United Kingdom

Nationality British

Business occupation Non-executive Director

* Voluntary details.

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth
Day Month Year
0 2 0 4 1 9 5 1

Forename(s) Jasminder

Surname SINGH

Address

Tetworth Hall

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Cheapside Road

Post town Ascot

County / Region Berkshire

UK Postcode SL5 7DU

Country United Kingdom

Nationality British

Business occupation Company Director

Directors (Continued)

Details of new directors must be notified on form 288a.

Please list directors in alphabetical order.

Name	* Style / Title			
		Day	Month	Year
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.			
Date of birth		2	5	0 3 1 9 4 3
Forename(s)		Keith Roderick		
Surname		WHITSON		
Address		36 Roland Way		
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
Post town		London		
County / Region		UK Postcode	SW7 3RE	
Country		Nationality	British	
Business occupation		Group Chief Executive, HSBC Holdings plc		

* Voluntary details.

Name	* Style / Title			
		Day	Month	Year
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.			
Date of birth				
Forename(s)				
Surname				
Address				
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.			
Post town				
County / Region		UK Postcode		
Country		Nationality		
Business occupation				

**Issued share capital
(continuation sheet)**

Form 363a Continuation sheet

Company Number | 14259

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

Number of shares issued

**Aggregate
Nominal Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

Total £

796,903,211

£796,903,211.000

Total US\$

70,000,000

US\$700,000.000

List of past and present shareholders **Schedule to form 363a**

CHFP055

Company Number | 14259

Company Name in full | HSBC Bank plc

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <small>BEARER</small> <hr/> Address <hr/> <hr/> UK Postcode <hr/>	Non-cumulative US dollar preference shares <div>66,000,000</div>		
Name HBL Nominees Limited <hr/> Address 10 Lower Thames Street, London. <hr/> UK Postcode EC3R 6AE	Ordinary Shares <div>1</div>		
Name HSBC Group Nominees UK Limited <hr/> Address 10 Lower Thames Street, London. <hr/> UK Postcode EC3R 6AE	Ordinary Shares <div>1</div>		

List of past and present shareholders (Continued)

Company Number 14259

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name HSBC Holdings plc <hr/> Address 10 Lower Thames Street, London. <hr/> UK Postcode EC3R 6AE	Preferred Ordinary 1 <hr/> Ordinary Shares 796,903,208		
Name JPM 1996 Alpha LLC <hr/> Address 9 West 57th Street, New York, New York 10019, United States. <hr/> UK Postcode	Non-cumulative second US dollar preference shares 4,000,000		
Name <hr/> Address <hr/> UK Postcode			
Name <hr/> Address <hr/> UK Postcode			
Name <hr/> Address <hr/> UK Postcode			