



Please complete in typescript,
or in bold black capitals.

CHFP029

Bulk list.

363a

Annual Return

NM

Company Number 3611426

Company Name in full ICAP plc ✓

Date of this return

The information in this return is made up to

Day Month Year
03 / 08 / 2001 ✓

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year
03 / 08 / 2002

Registered Office

Show here the address at the date of
this return.

PARK HOUSE

16 FINSBURY CIRCUS ✓

Any change of
registered office
must be notified
on form 287.

Post town

LONDON

County / Region

UK Postcode

EC2M 7UR

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

7415 ✓

If the code number cannot be determined,
give a brief description of principal activity.

PARENT COMPANY OF MONEY & SECURITIES BROKING COMPANIES



EDX
COMPANIES HOUSE
EHU49802
0363
22/08/01
ED1
COMPANIES HOUSE
ED4983FE
0059
22/08/01

When you have completed and signed the form please send it to the
Registrar of Companies at:
Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland DX 235 Edinburgh

30-8-01 203

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

NOT APPLICABLE

Post town

County / Region

UK Postcode

Company type

Public limited company

X

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name

* Style / Title

MR

Forename(s)

EDWARD CHARLES

Surname(s)

PANK

Address

RED BARN FARMHOUSE ✓

SHERNBORNE

Post town

NR. KING'S LYNN

County / Region

NORFOLK

UK Postcode

P E 3 1 6 S B

Country

ENGLAND

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR												
		Day	Month	Year										
Date of birth		0	6	/	0	8	/	1	9	4	6			
Forename(s)		NICHOLAS JOHN												
Surname		COSH												
Address		61 LEOPOLD ROAD, WIMBLEDON												
Post town		LONDON												
County / Region							UK Postcode	S	W	1	9	7	J	G
Country							Nationality	BRITISH						
Business occupation		DIRECTOR												

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR												
		Day	Month	Year										
Date of birth		1	0	/	1	1	/	1	9	4	7			
Forename(s)		DAVID												
Surname		GELBER												
Address		6 CLORANE GARDENS												
Post town		LONDON												
County / Region							UK Postcode	N	W	3		7	1	R
Country		ENGLAND					Nationality	CANADA						
Business occupation		DIRECTOR												

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR										
		Day	Month	Year								
	Date of birth	0	7	0	6	1	9	4	7			
	Forename(s)	CHARLES HENRY										
	Surname	GREGSON										
Address	HEATHERS FARM, THE HAVEN											
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.											
	Post town	BILLINGSHURST										
	County / Region	SUSSEX			UK Postcode	R	H	1	4	9	B	N
	Country	ENGLAND			Nationality	BRITISH						
Business occupation	COMPANY DIRECTOR											

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR										
		Day	Month	Year								
	Date of birth	3	0	0	1	1	9	6	1			
	Forename(s)	DECLAN PIUS										
	Surname	KELLY										
Address	44 LYNDAL AVE											
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.											
	Post town	LONDON										
	County / Region				UK Postcode	N	W	2		2	Q	A
	Country	ENGLAND			Nationality	IRISH						
Business occupation	DIRECTOR											

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title MR
Date of birth Day Month Year 03 / 08 / 1943
Forename(s) ROBERT
Surname KNOX, III
Address 3734 ECKER HILL DRIVE
Post town PARK CITY
County / Region UTAH UK Postcode 84098
Country UNITED STATES Nationality AMERICAN
Business occupation BANKER

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title MR
Date of birth Day Month Year 24 / 12 / 1944
Forename(s) DONALD ROBERT ANTHONY
Surname MARSHALL
Address 927 MOHAWK ROAD, FRANKLIN LAKES
Post town N.J.
County / Region UK Postcode 07417
Country Nationality AMERICAN
Business occupation COMPANY DIRECTOR

Directors

Details of new directors must be notified on form 288a

* Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title MR

Day Month Year

Date of birth 2 6 / 0 4 / 1 9 5 7

Forename(s) STEPHEN PAUL

Surname MCDERMOTT

Address 5 THISTLE LANE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town HOLMDEL

County / Region NJ 07733 UK Postcode

Country UNITED STATES Nationality AMERICAN

Business occupation CHIEF EXECUTIVE OFFICER

* Voluntary details.

Name * Style / Title MR

Day Month Year

Date of birth 2 7 / 0 6 / 1 9 5 5

Forename(s) WILLIAM JOHN NUNES

Surname NABARRO

Address BISHOPTON GRANGE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town RIPON

County / Region NORTH YORKSHIRE UK Postcode H G 4 2 Q L

Country ENGLAND Nationality BRITISH

Business occupation DIRECTOR

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	MR		
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	Day	Month	Year
		08	05	1955
	Forename(s)	JOHN DAVID		
	Surname	NIXON		
Address	30 SOUTH END COURT			
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	OLD GREENWICH		
	County / Region	CT 06870	UK Postcode	
	Country	UNITED STATES	Nationality	CANADA
Business occupation	DIRECTOR			

* Voluntary details.

Name	* Style / Title	MR		
Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	Day	Month	Year
		28	07	1958
	Forename(s)	JAMES NEILSON		
	Surname	PETTIGREW		
Address	6 PARK AVENUE SOUTH			
Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	HARPENDEN		
	County / Region	HERTS	UK Postcode	AL5 2EA
	Country	ENGLAND	Nationality	BRITISH
Business occupation	DIRECTOR (FINANCE)			

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name	* Style / Title	MR												
		Day	Month	Year										
Directors	Date of birth	0	8	/	1	0	/	1	9	5	8			
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Forename(s)	JONATHAN QUINTIN												
	Surname	ROBSON												
Address	400 CHAMBERS STREET, APT 12K													
Usual residential address														
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	NEW YORK												
	County / Region						UK Postcode	N	Y	1	0	2	8	2
	Country	UNITED STATES					Nationality	BRITISH						
	Business occupation	DIRECTOR												

* Voluntary details.

Name	* Style / Title	MR												
		Day	Month	Year										
Directors	Date of birth	3	0	/	0	5	/	1	9	5	5			
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Forename(s)	MICHAEL ALAN												
	Surname	SPENCER												
Address	TUNSTALL HALL, TUNSTALL													
Usual residential address														
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	WOODBIDGE												
	County / Region	SUFFOLK					UK Postcode	I	P	1	2	2	J	L
	Country	ENGLAND					Nationality	BRITISH						
	Business occupation	CHIEF EXECUTIVE												

Directors

* Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	MR												
Directors		Day	Month	Year										
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	2	2	/	0	6	/	1	9	4	5			
	Forename(s)	PAUL SEBASTIAN												
	Surname	ZUCKERMAN												
Address	THE OLD RECTORY, 105 GROSVENOR ROAD													
Usual residential address														
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	LONDON												
	County / Region						UK Postcode	S	W	1	V	3	L	G
	Country	ENGLAND					Nationality	BRITISH						
Business occupation	BANKER													

* Voluntary details.

Name	* Style / Title													
Directors		Day	Month	Year										
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth			/			/							
	Forename(s)													
	Surname													
Address														
Usual residential address														
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town													
	County / Region						UK Postcode							
	Country						Nationality							
Business occupation														

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ORDINARY 50P	100,259,215 ✓	£ 50,129,607.50
Totals	100,259,215 ✓	£ 50,129,607.50

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed

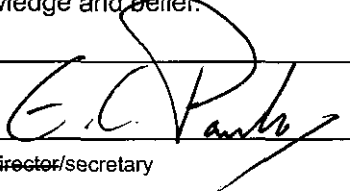
☐
☒

A full list of shareholders is enclosed

☐
☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

20th August 2001

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

HELEN BROOMFIELD, PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, EC2M 7UR

Tel 020 7463 4388

DX number

DX exchange

DISK/FICHE/PAPER

FORM ML8

A

BULK LIST OF SHAREHOLDERS OR MEMBERS

A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON THIS ANNUAL RETURN MICROFICHE.

IF YOU WISH TO SEARCH THE LIST, PLEASE ENQUIRE AT THE SEARCH CONTROL COUNTER.

COMPANY NUMBER 3611426