



Please complete in typescript,
or in bold black capitals.

CHFP029

Annual Return

Company Number 3611426

Company Name in full Garban-Intercapital plc

Date of this return

The information in this return is made up to

Day Month Year

03 / 08 / 2000

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

03 / 08 / 2001

Registered Office

Show here the address at the date of
this return.

PARK HOUSE

16 FINSBURY CIRCUS

Any change of
registered office
must be notified
on form 287.

Post town

LONDON

County / Region

UK Postcode

EC2M 7UR

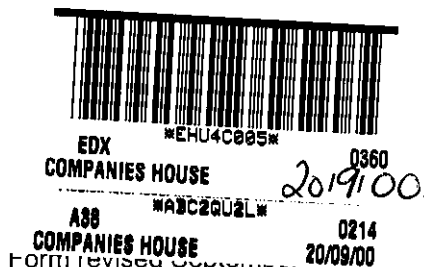
Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

7415

If the code number cannot be determined,
give a brief description of principal activity.

PARENT COMPANY OF MONEY & SECURITIES BROKING COMPANIES



When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Page 1

9-10-00 58

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☒☐☐☐☐☐☐

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name

* Style / Title

MR

Forename(s)

EDWARD CHARLES

Surname(s)

PANK

Address

RED BARN FARMHOUSE

SHERNBORNE

Post town

NR. KING'S LYNN

County / Region

NORFOLK

UK Postcode

P E 3 1 6 S B

Country

ENGLAND

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name	* Style / Title	MR									
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year							
	Date of birth	2	3	0	9	1	9	4	2		
	Forename(s)	PETER NEVILLE									
	Surname	BUCKLEY									
Address	6 ALBERT PLACE										
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.										
	Post town	LONDON									
	County / Region				UK Postcode	W	8		5	P	D
	Country	ENGLAND			Nationality	BRITISH					
Business occupation	CHARTERED ACCOUNTANT ✓										

* Voluntary details.

Name	* Style / Title	MR										
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year								
	Date of birth	1	9	0	8	1	9	5	3			
	Forename(s)	JONATHAN HARRY ✓										
	Surname	CARTWRIGHT										
Address	RECTORY MEADOW, HAWTHORN PLACE											
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.											
	Post town	PENN										
	County / Region	BUCKS			UK Postcode	H	P	1	0	8	E	H
	Country	ENGLAND			Nationality	BRITISH						
Business occupation	DIRECTOR ✓											

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR									
		Day	Month	Year							
	Date of birth	1	0	1	1	9	4	7			
	Forename(s)	DAVID									
	Surname	GELBER									
Address	6 CLORANE GARDENS ✓										
	Post town	LONDON									
	County / Region				UK Postcode	N	W	3	7	1	R
	Country	ENGLAND			Nationality	CANADA					
	Business occupation	DIRECTOR ✓									

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR										
		Day	Month	Year								
	Date of birth	0	7	0	6	1	9	4	7			
	Forename(s)	CHARLES HENRY										
	Surname	GREGSON										
Address	HEATHERS FARM, THE HAVEN ✓											
	Post town	BILLINGSHURST										
	County / Region	SUSSEX			UK Postcode	R	H	1	4	9	B	N
	Country	ENGLAND			Nationality	BRITISH						
	Business occupation	COMPANY DIRECTOR ✓										

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name * Style / Title MR

Day Month Year

Date of birth 3 0 / 0 1 / 1 9 6 1

Forename(s) DECLAN PIUS

Surname KELLY

Address 22 FERNCROFT AVENUE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town HAMPSTEAD

County / Region LONDON UK Postcode N W 3 7 P H

Country ENGLAND Nationality IRISH

Business occupation DIRECTOR

* Voluntary details.

Name * Style / Title MR

Day Month Year

Date of birth 0 3 / 0 8 / 1 9 4 3

Forename(s) ROBERT

Surname KNOX, III

Address 3734 ECKER HILL DRIVE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town PARK CITY

County / Region UTAH UK Postcode 8 4 0 9 8

Country UNITED STATES Nationality AMERICAN

Business occupation BANKER

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name	* Style / Title	MR									
Directors		Day	Month	Year							
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	2	4	1	2	1	9	4	4		
	Forename(s)	DONALD ROBERT ANTHONY									
	Surname	MARSHALL									
Address	927 MOHAWK ROAD, FRANKLIN LAKES										
Usual residential address											
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	N.J.									
	County / Region				UK Postcode	0	7	4	1	7	
	Country				Nationality						
Business occupation	COMPANY DIRECTOR										

* Voluntary details.

Name	* Style / Title	MR									
Directors		Day	Month	Year							
In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Date of birth	2	6	0	4	1	9	5	7		
	Forename(s)	STEPHEN PAUL									
	Surname	MCDERMOTT									
Address	5 THISTLE LANE										
Usual residential address											
must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.	Post town	HOLMDEL									
	County / Region	NJ 07733			UK Postcode						
	Country	UNITED STATES			Nationality	AMERICAN					
Business occupation	CHIEF EXECUTIVE OFFICER										

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 7 / 0 6 / 1 9 5 5

Forename(s) WILLIAM JOHN NUNES

Surname NABARRO

Address BISHOPTON GRANGE

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town RIPON

County / Region NORTH YORKSHIRE

UK Postcode H G 4 2 Q L

Country ENGLAND

Nationality BRITISH

Business occupation DIRECTOR

* Voluntary details.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 0 8 / 0 5 / 1 9 5 5

Forename(s) JOHN DAVID

Surname NIXON

Address 9 MARLOW COURT

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town RIVERSIDE

County / Region CONNECTICUTT

UK Postcode C T 0 6 8 7 8

Country UNITED STATES

Nationality CANADA

Business occupation DIRECTOR

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 8 / 0 7 / 1 9 5 8

Forename(s) JAMES NEILSON

Surname PETTIGREW

Address 6 PARK AVENUE SOUTH

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town HARPENDEN

County / Region HERTS

UK Postcode A L 5 2 E A

Country ENGLAND

Nationality BRITISH

Business occupation DIRECTOR (FINANCE) ✓

* Voluntary details.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 0 8 / 1 0 / 1 9 5 8

Forename(s) JONATHAN QUINTIN

Surname ROBSON

Address 400 CHAMBERS STREET, APT 12K ✓

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town NEW YORK

County / Region

UK Postcode N Y 1 0 2 8 2

Country UNITED STATES

Nationality BRITISH

Business occupation DIRECTOR ✓

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 3 0 / 0 5 / 1 9 5 5

Forename(s) MICHAEL ALAN

Surname SPENCER

Address TUNSTALL HALL, TUNSTALL

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town WOODBRIDGE

County / Region SUFFOLK UK Postcode I P 1 2 2 J L

Country ENGLAND Nationality BRITISH

Business occupation CHIEF EXECUTIVE

* Voluntary details.

Name * Style / Title MR

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year
Date of birth 2 2 / 0 6 / 1 9 4 5

Forename(s) PAUL SEBASTIAN

Surname ZUCKERMAN

Address THE OLD RECTORY, 105 GROSVENOR ROAD

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town LONDON

County / Region UK Postcode S W 1 V 3 L G

Country ENGLAND Nationality BRITISH

Business occupation BANKER

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued,
multiplied by nominal value per
share, or total amount of stock)

ORDINARY 50P	100,159,828	£ 50,079,914.00
Totals	100,159,828	£ 50,079,914.00

List of past and present shareholders
(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed

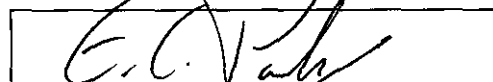
☐
☒

A full list of shareholders is enclosed

☐
☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

18.9.00

† Please delete as appropriate.

† a director/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

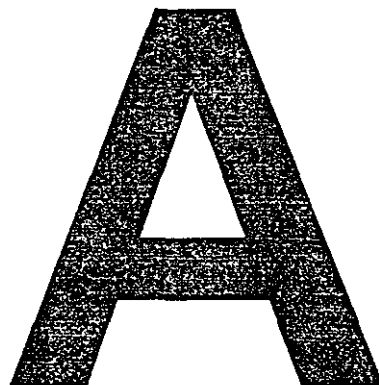
JEANETTE EGGLETON, PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, EC2M 7UR

Tel 020 7463 4388

DX number DX exchange

Bulk List Disk/fiche

FORM ML8



BULK LIST OF SHAREHOLDERS OR MEMBERS

A BULK LIST OF SHAREHOLDERS OR MEMBERS FOR THIS COMPANY HAS BEEN LODGED BUT DOES NOT APPEAR ON THIS ANNUAL RETURN MICROFICHE.

IF YOU WISH TO SEARCH THE LIST, PLEASE ENQUIRE AT THE SEARCH CONTROL COUNTER.

COMPANY NUMBER 3611426