



Companies House

AR01 (ef)

Annual Return



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Company Name: **SOTHEBY'S FINANCIAL SERVICES LIMITED**

Company Number: **00597920**

Date of this return: **28/03/2014**

SIC codes: **96090**

Company Type: **Private company limited by shares**

Situation of Registered Office: **34-35 NEW BOND ST
LONDON
W1A 2AA**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MISS ANTONIA**

Surname: **SCOTT**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **MR MARK**

Surname: **CORNELL**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **30/05/1966** Nationality: **BRITISH**
Occupation: **MANAGING DIRECTOR**

Company Director 2

Type: **Person**
Full forename(s): **SEBASTIAN**

Surname: **FAHEY**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **11/05/1981** Nationality: **BRITISH**
Occupation: **SENIOR LOAN PORTFOLLO
MANAGER**

Company Director 3

Type: **Person**
Full forename(s): **MR CLIVE GRAHAM**

Surname: **LORD**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **01/09/1960** Nationality: **BRITISH**
Occupation: **CHIEF OPERATING OFFICER**

Company Director **4**

Type: **Person**
Full forename(s): **MR JAN**

Surname: **PRASENS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED STATES**

Date of Birth: **15/06/1974** Nationality: **SLOVAK**

Occupation: **MANAGING DIRECTOR**

Company Director **5**

Type: **Person**
Full forename(s): **MITCHELL**

Surname: **ZUCKERMAN**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **USA**

Date of Birth: **13/04/1946** Nationality: **BRITISH**

Occupation: **COMPANY EXECUTIVE**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	1000
		<i>Aggregate nominal value</i>	1000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	0
		<i>Amount unpaid per share</i>	0

Prescribed particulars

AS SET OUT IN TABLE A OF THE COMPANIES ACT 1985 WITH THE OMISSION OF CLAUSE 24. THE FOLLOWING ADDITIONAL PROVISION APPLIES: THE SHARES IN THE INITIAL AND ANY INCREASED CAPITAL SHALL BE UNDER THE CONTROL OF THE DIRECTORS, WHO MAY ALLOT AND DISPOSE OF OR GRANT OPTIONS OVER THE SAME TO SUCH PERSONS, ON SUCH TERMS AND IN SUCH MANNER AS THEY THINK FIT.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	1000
		<i>Total aggregate nominal value</i>	1000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 28/03/2014 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : 1000 ORDINARY shares held as at the date of this return
Name: SOTHEBY'S

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.